

# Estimation of Global Ionosphere VTEC Maps by the Combination of Satellite Observation Techniques based on Kalman-Filtering

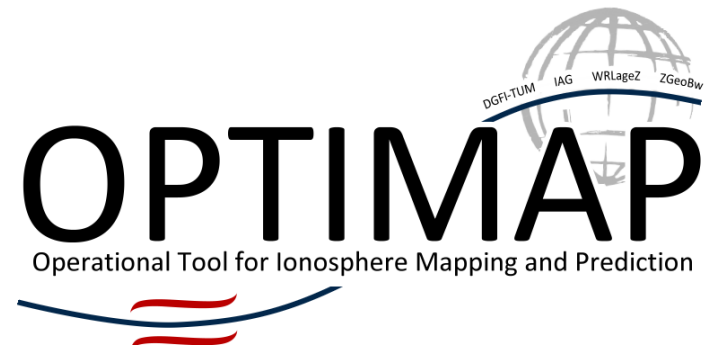
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# Outline

Introduction

Observation Techniques

VTEC Representation with B-splines

Recursive Estimation using Kalman Filter

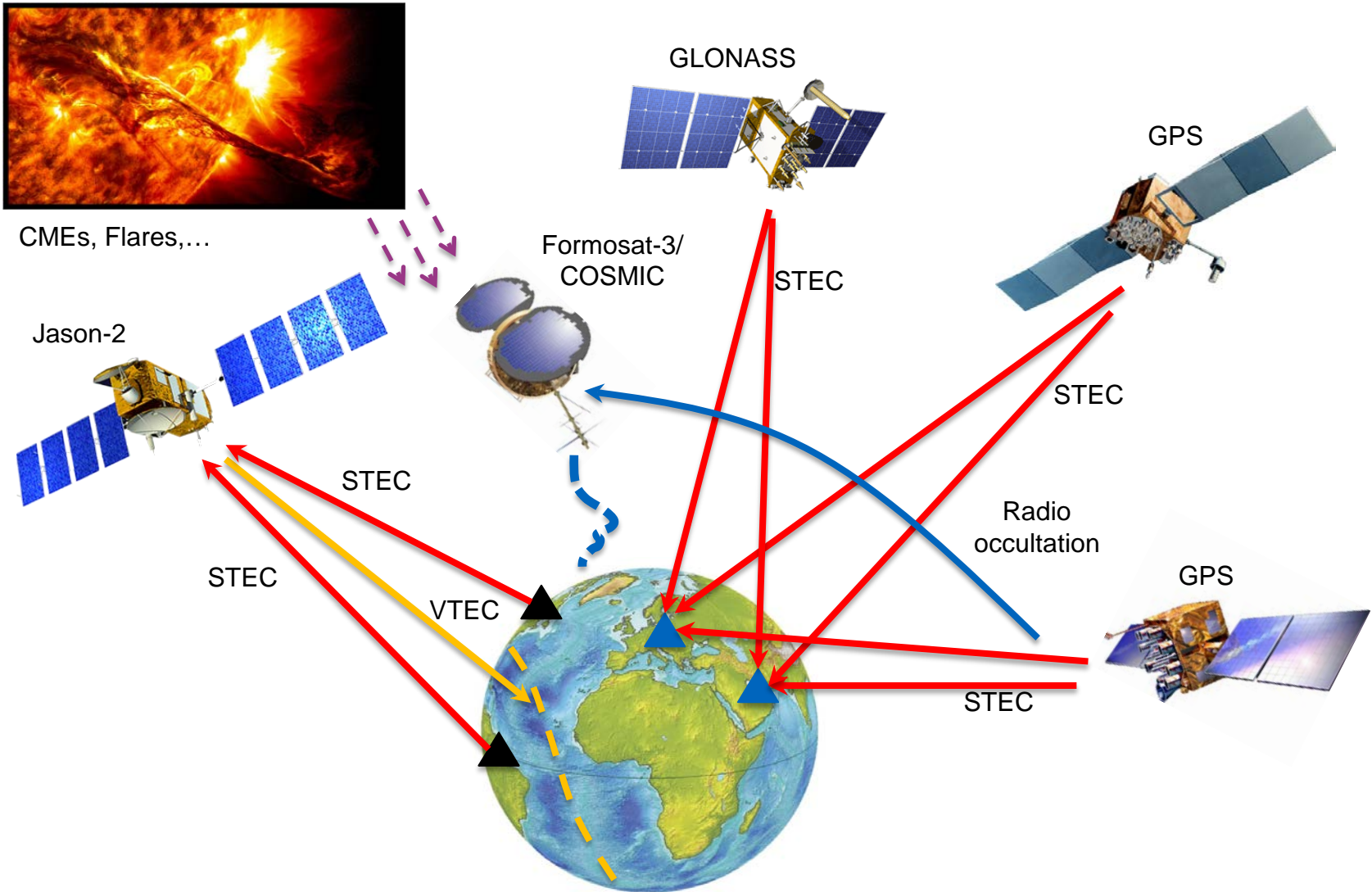
Initial Results

Final Remarks

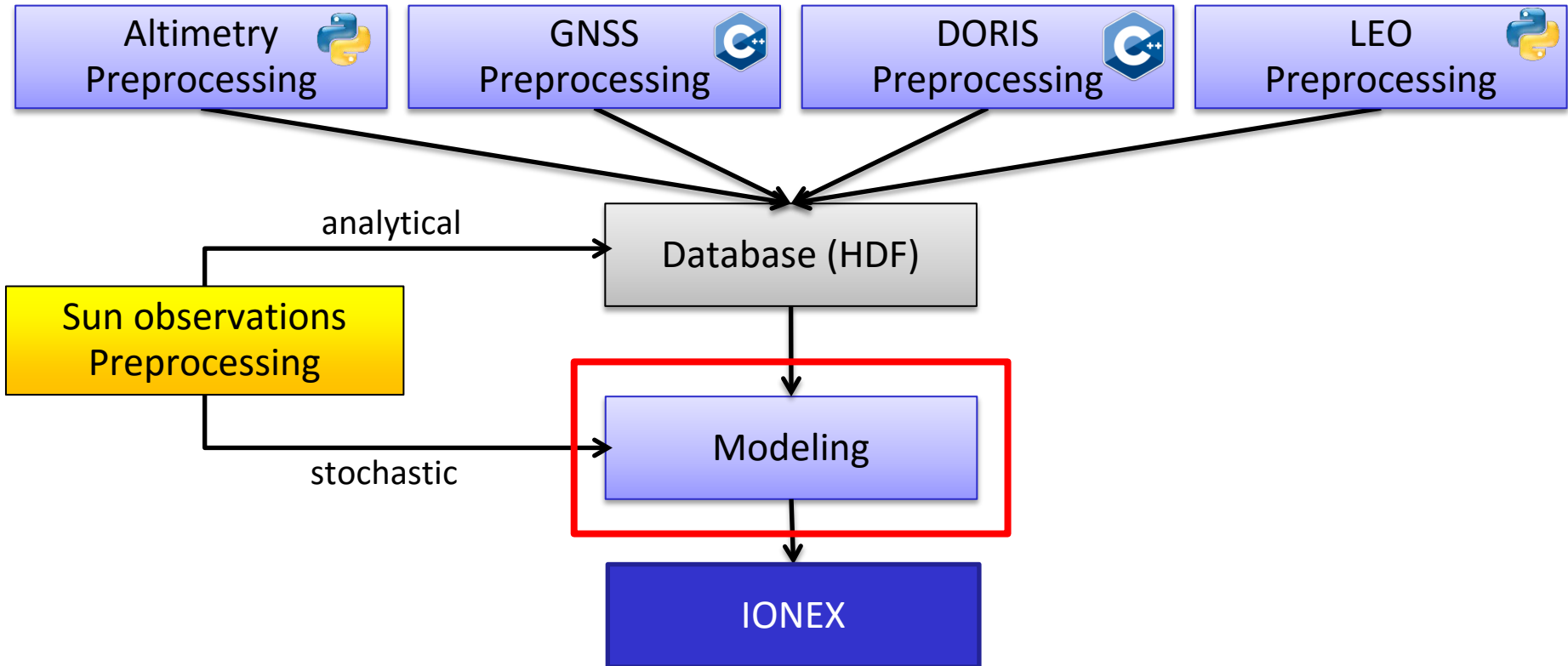
# Introduction

- Within the project Optimap we aim on the development of an operational ionospheric monitoring and modeling tool to provide
  - VTEC maps (**global and regional**),
  - VTEC maps on the basis of **electron density modeling** (global and regional),
  - VTEC values for the **ephemerides** of low Earth orbiting (LEO) satellites,
  - VTEC **forecasts** for several days.
  
- For achieving these goals different issues have to be implemented:
  - **combination** of different space geodetic observation techniques,
  - **sequential** data processing,
  - incorporation of **Sun observations**.
  
- Project Partners
  - German Geodetic Research Institute of the Technical University of Munich (DGFI-TUM)
  - Institute of Astrophysics at the University of Göttingen (IAG)
  - German Space Situational Awareness Centre (GSSAC)
  - Bundeswehr GeoInformation Centre (BGIC)

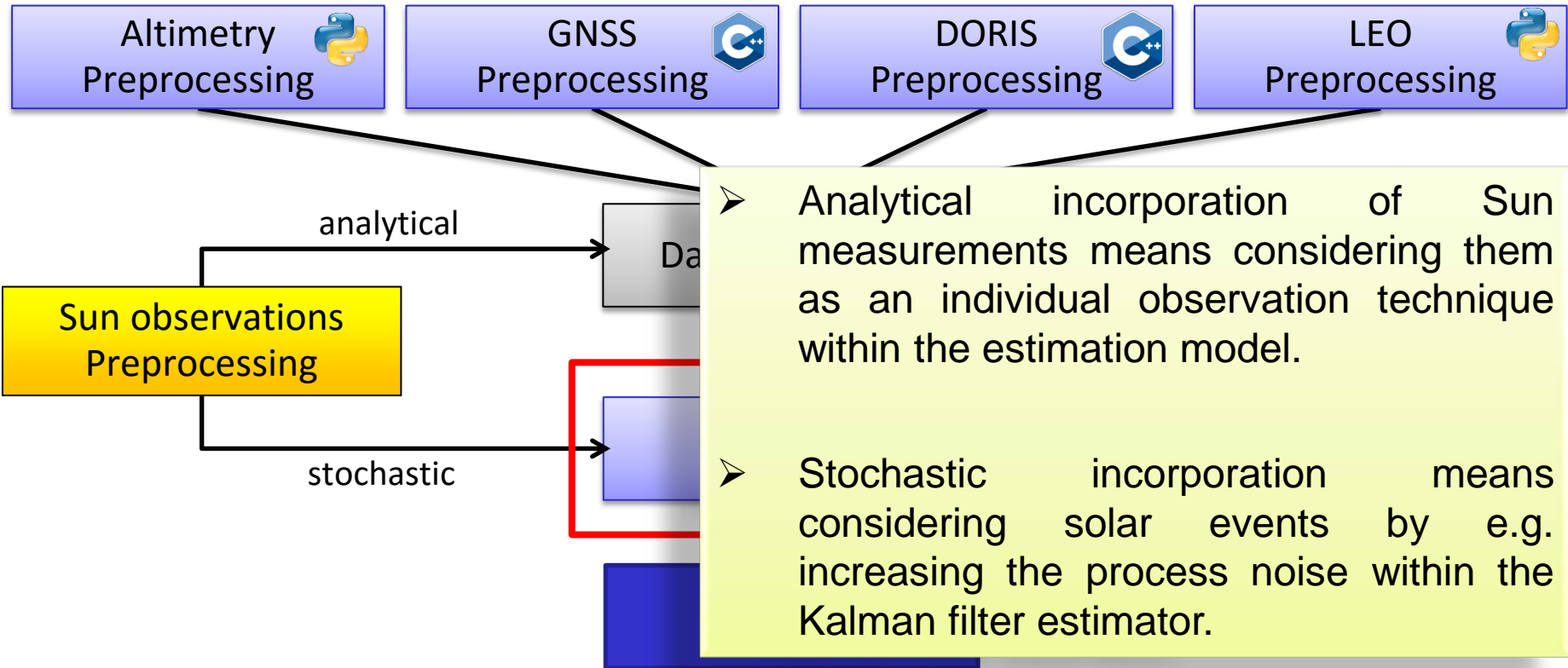
# Observation Techniques: Overview



# Observation Techniques: Process Flowchart

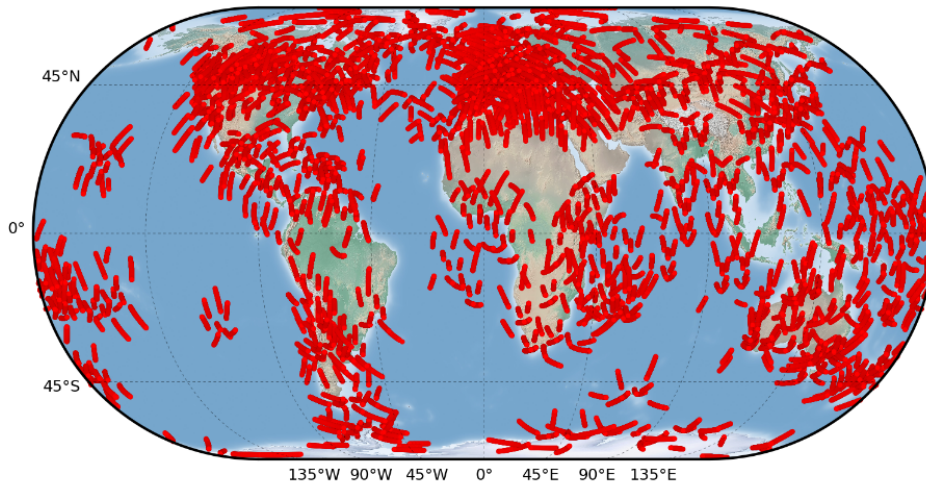


# Observation Techniques: Process Flowchart

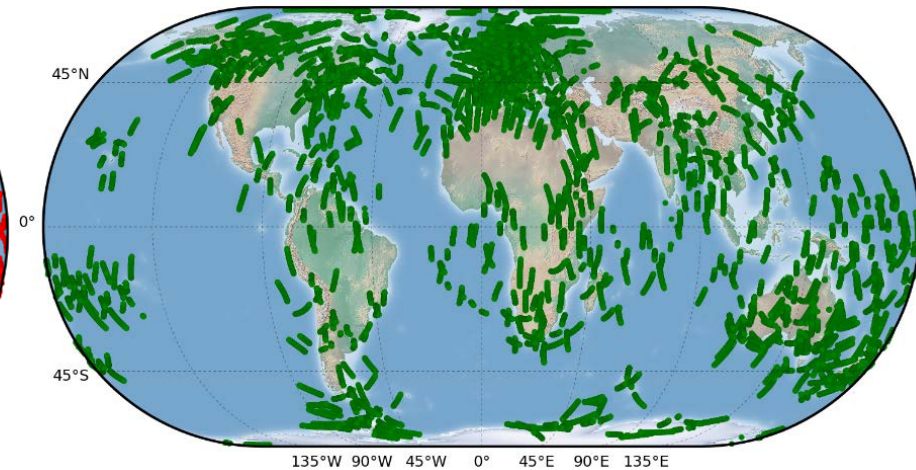


# Observation Techniques: GNSS Preprocessing

## GPS



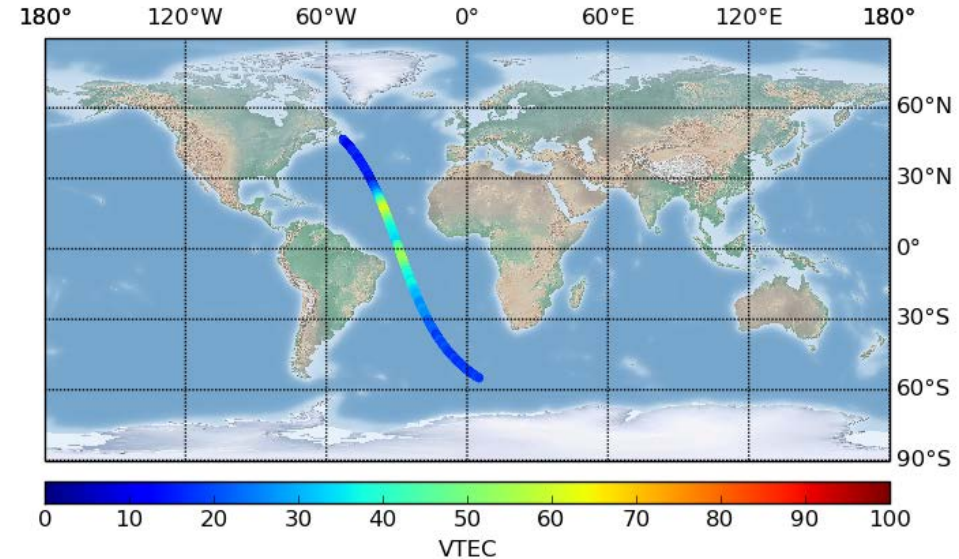
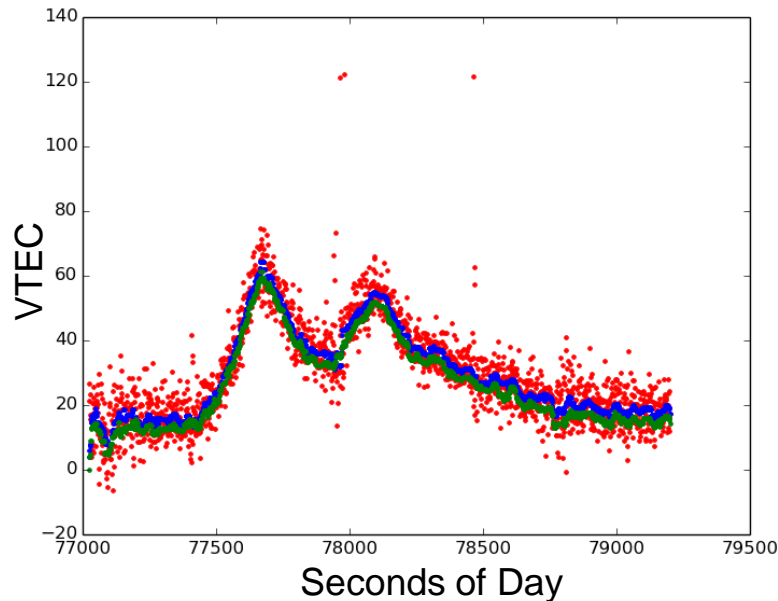
## GLONASS



- Distribution of **ionospheric pierce points** (IPP) based on the **hourly observation** batch of February 11, 2016, 12:00 UT - 13:00 UT.
- The figures show exemplarily the **spatial resolution** of GPS and GLONASS during the time interval of 1 hour.



# Observation Techniques: Altimetry Preprocessing

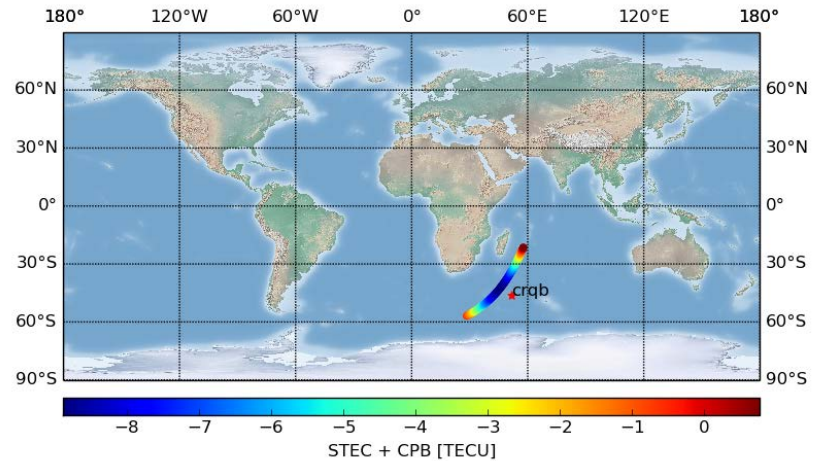
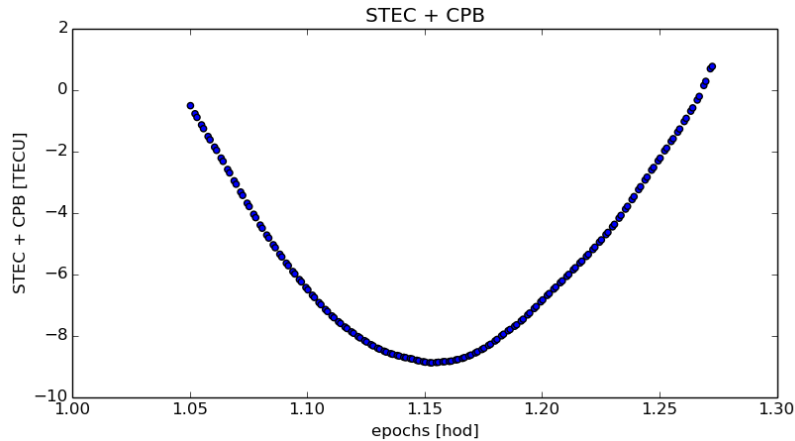


- **Jason-2 hourly batch** as observed on January 3, 2014 between 21:00 and 22:00 UT
- Left: **Original VTEC** (red), **median filtered** (blue)
- **Measurements** over water surfaces along satellite track



# Observation Techniques: DORIS Preprocessing

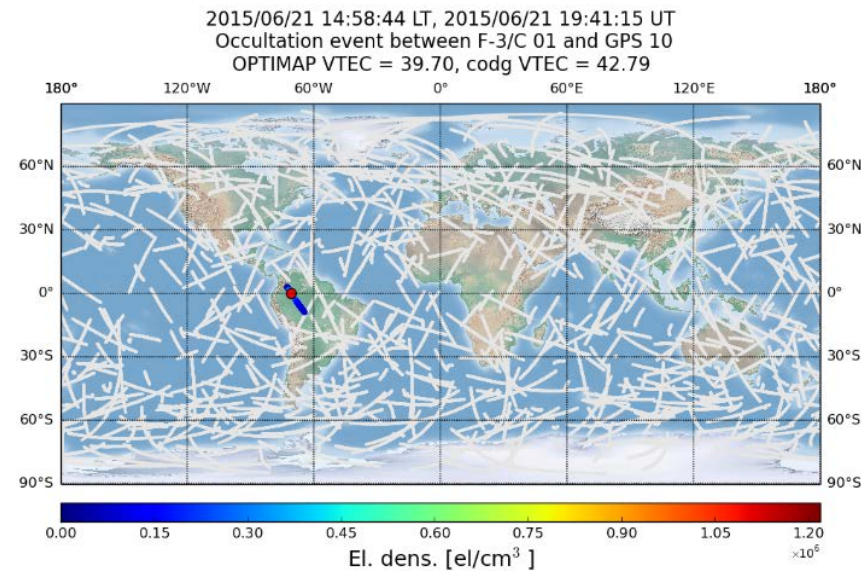
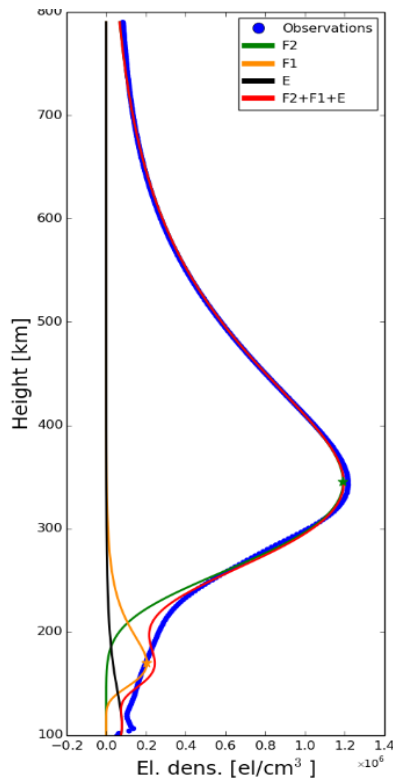
Beacon crqb, Satellite L27, Pass interval [2015/ 1/ 1 1: 3: 1, 2015/ 1/ 1 1:16:21]



- DORIS **biased STEC** observations through a pass of the satellite observed on January 1, 2015.

# Observation Techniques: Radio Occultation (RO)

- RO measurements from the Formosat-3/COSMIC) mission provide **electron density profiles**, then VTEC is obtained by **integrating** the electron density along the height.

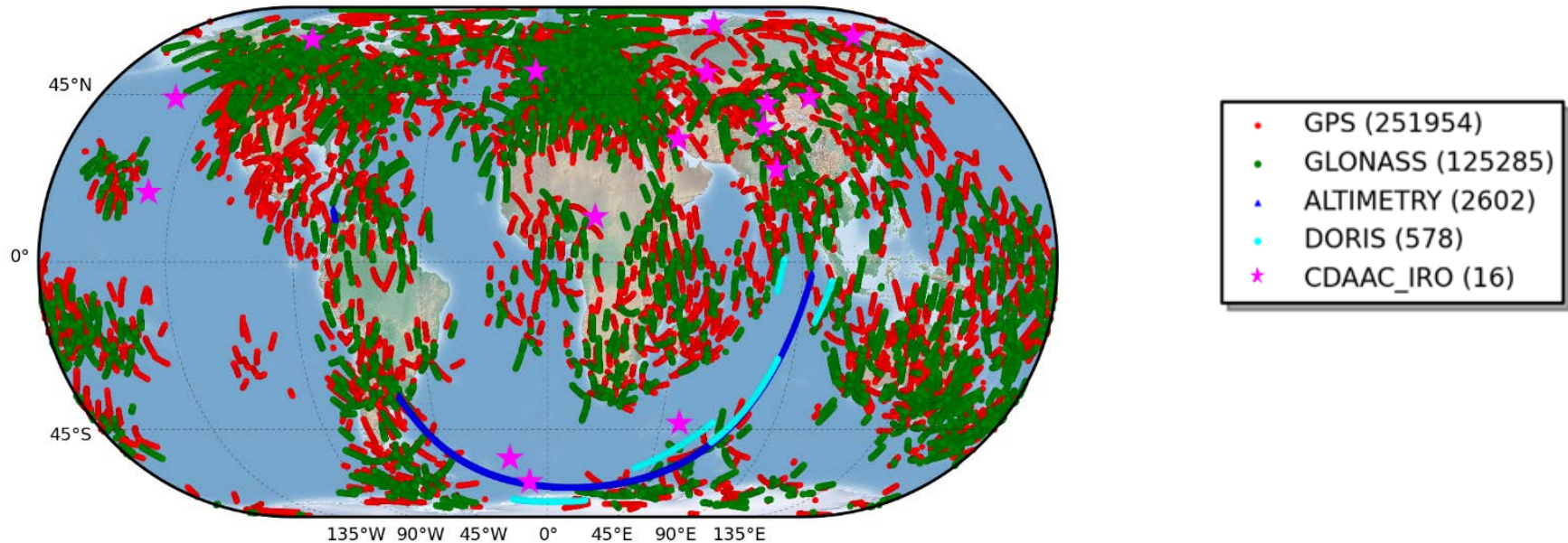


- Figure on the left side shows a sample density profile from F-3/C mission on June 21, 2015. Blue dots represents the observations.
- Figure on the right side shows the location of the density observation and estimated Multi - Chapman functions

- Assumption: profiles are vertical with their F2 peak as a localization point, i.e.  $\therefore VTEC = \int_{h_1}^{h_2} N_e dh$

# Observation Techniques: Overall Data Distribution

- Figure shows the **data distribution** from different space geodetic techniques on February 12, 2016, between 11:30 and 12:30



- Terrestrial **GPS and GLONASS** observations provide a **high-resolution coverage** of continental regions.
- Large **data gaps** exist especially over the oceans.
- Additional satellite-based techniques can mitigate the data gap problem as well as contribute to a data densification on the terrestrial regions

# Observation Techniques: Overall Data Distribution

➤ Fig  
on

➤ It should be noted that, the key point of the project is to provide Ionosphere products with **low latency** and **high accuracy**.

➤ *Therefore, modelling approaches which require post-processed products are not used.*

➤ Considering the **hourly GNSS observations** with improving number and distribution, **near-real time products** would be an alternative to the traditional ones.

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➤ In this sense, providing TEC products in near real time using a **Kalman filter** plays an important role in the project.

Techniques

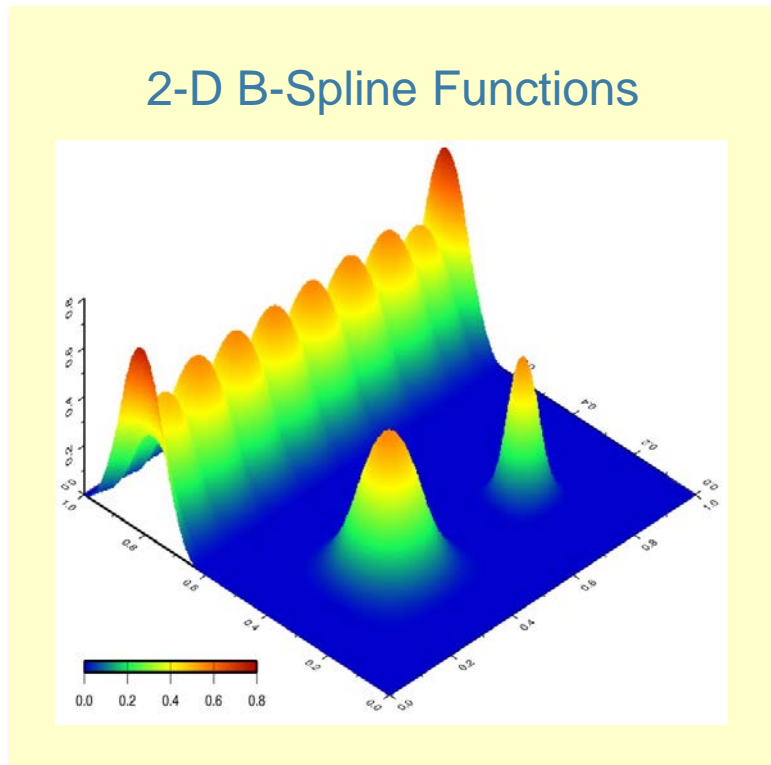
S (251954)
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RIS (578)
AAC_IRO (16)

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# VTEC Representation: Uniform B-splines (UBS)

- VTEC is represented as a series expansion in tensor products of **B-spline functions** defined separately for **longitude** and **latitude**



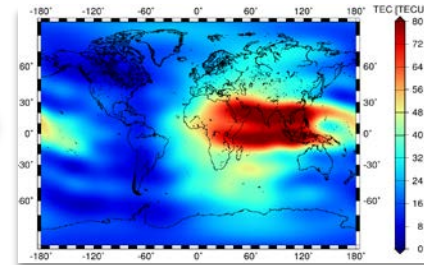
- Base functions are only different from zero in a local environment (**compact support**)
- The compact support can allow:
  - modification of present data and
  - incorporation of new measurements **without causing global effect**
- Data gaps can be handled **appropriately**,
- The approach can be applied for **global**, **regional** and **combined** modelling,
- The approach can be used in an **Earth-** or **Sun-fixed** geographical or **geomagnetic** coordinate system.



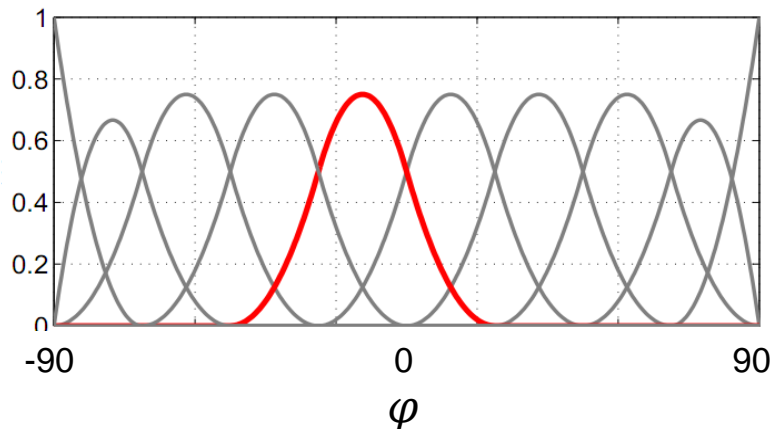
# VTEC Representation: Uniform B-splines (UBS)

- VTEC is parametrized in tensor products of **trigonometric B-spline functions**  $T_{J_2, k_2}^2$  for longitude  $\lambda$  and **polynomial B-spline functions**  $N_{J_1, k_1}^2$  for latitude  $\varphi$

$$VTEC(\lambda, \varphi) = \sum_{k_1=0}^{K_{J_1}-1} \sum_{k_2=0}^{K_{J_2}-1} d_{k_1, k_2}^{J_1, J_2} N_{J_1, k_1}^2(\varphi) T_{J_2, k_2}^2(\lambda)$$

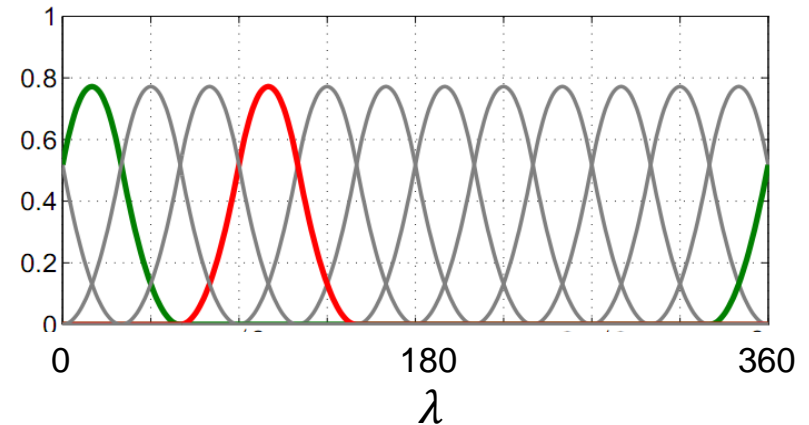


Polynomial B-spline functions  $N_{3, k_1}^2$



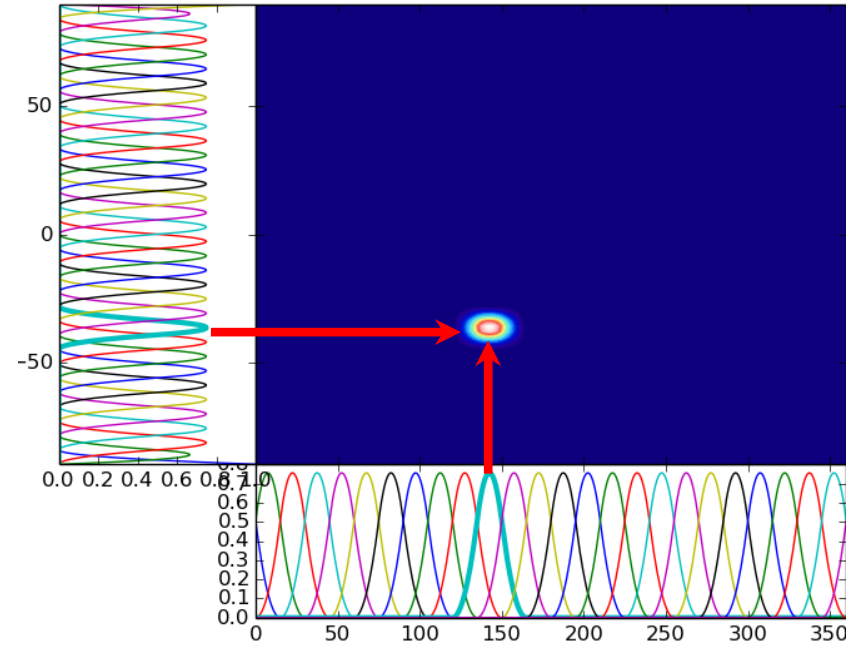
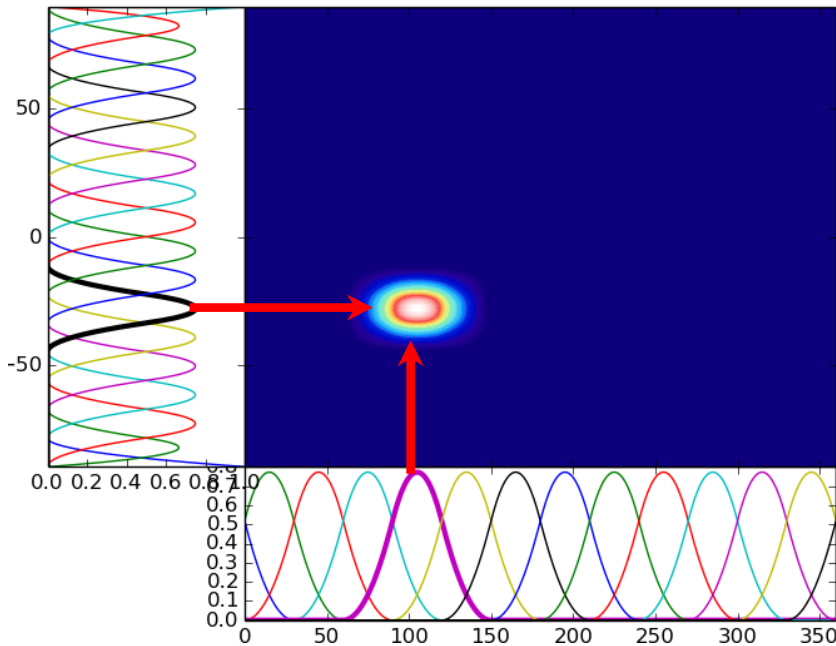
$$J_1 = 3, K_3 = 10, k_1 = 0, 1, \dots, 9$$

Trigonometric B-spline functions  $T_{2, k_2}^2$



$$J_2 = 2, K_2 = 14, k_2 = 0, 1, \dots, 13$$

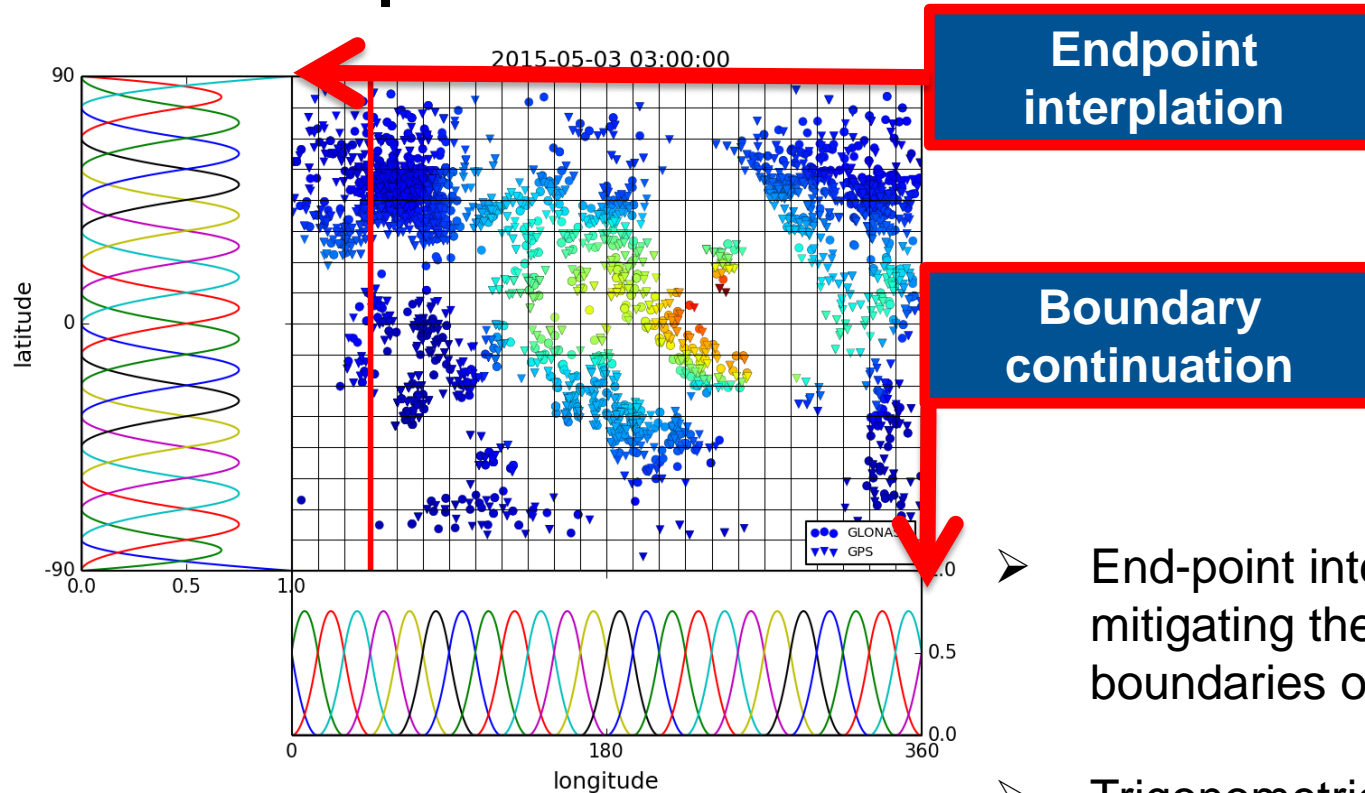
# VTEC Representation: UBS Model Resolution



- Tensor products of polynomial B-spline functions  $N_{J_1, k_1}^2$  and trigonometric B-spline functions  $T_{J_2, k_2}^2(\lambda)$ 
  - Left figure: levels  $J_1 = 4, J_2 = 2$
  - Right figure: levels  $J_1 = 5, J_2 = 3$
- The **higher** the chosen level values, the **finer** the structures could be modeled.



# Uniform B-splines



**Endpoint interpolation**

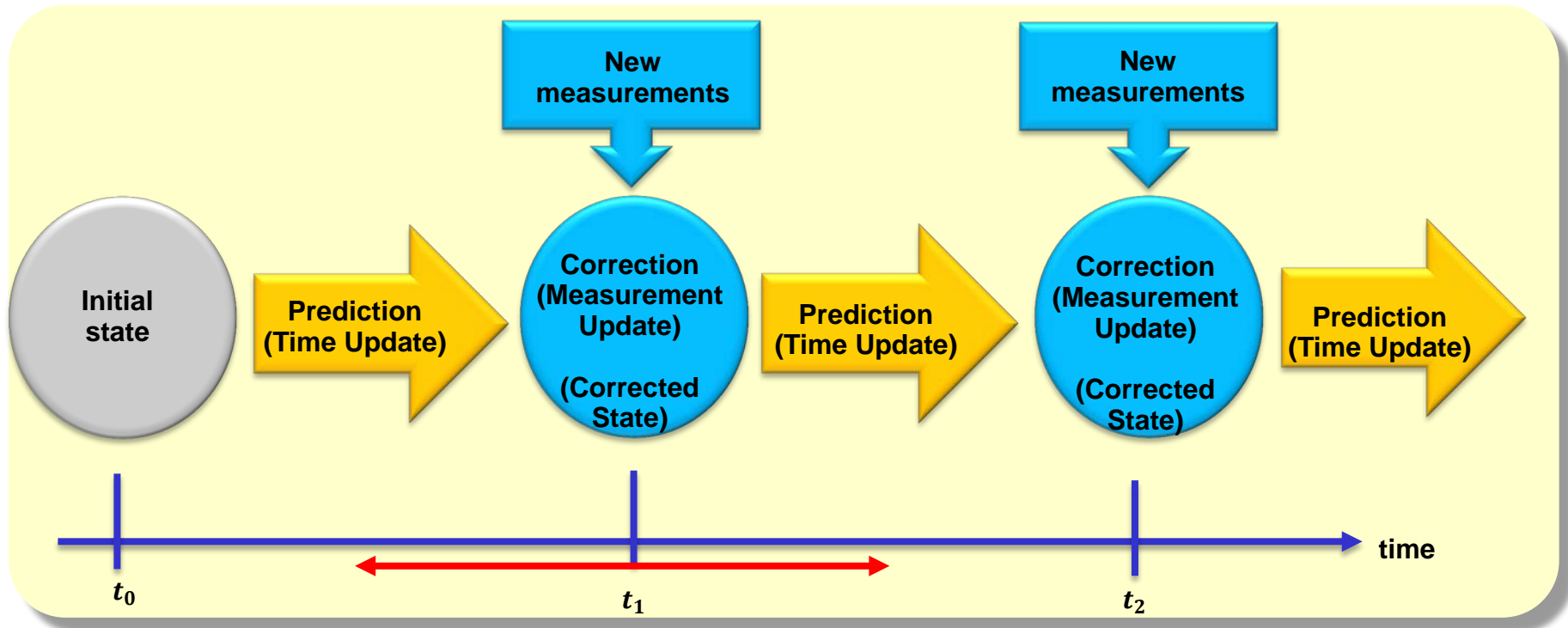
**Boundary continuation**

- End-point interpolation is for mitigating the edge effect at the boundaries of the modelling area.
- Trigonometric B-spline functions are useful to preserve continuities at the boundaries of the modelling area

UBS; Sun-fixed coordinate system

- Level  $J_1 = 3$  in longitude
- Level  $J_2 = 4$  in latitude

# Sequential Processing: Kalman Filter



- A Kalman filter is used to estimate the unknown parameters **sequentially**.
- The state of the filter consisting of the unknown parameters is **updated every minute** with the new observations.
- Currently, the **random walk** model is used for time variation of the filter (prediction or time update).

# Sequential Processing: Kalman Filter

- General formulation of a time varying system

$$\boldsymbol{\beta}_k^- = \mathbf{f}(\hat{\boldsymbol{\beta}}_{k-1}, \mathbf{w}_k)$$

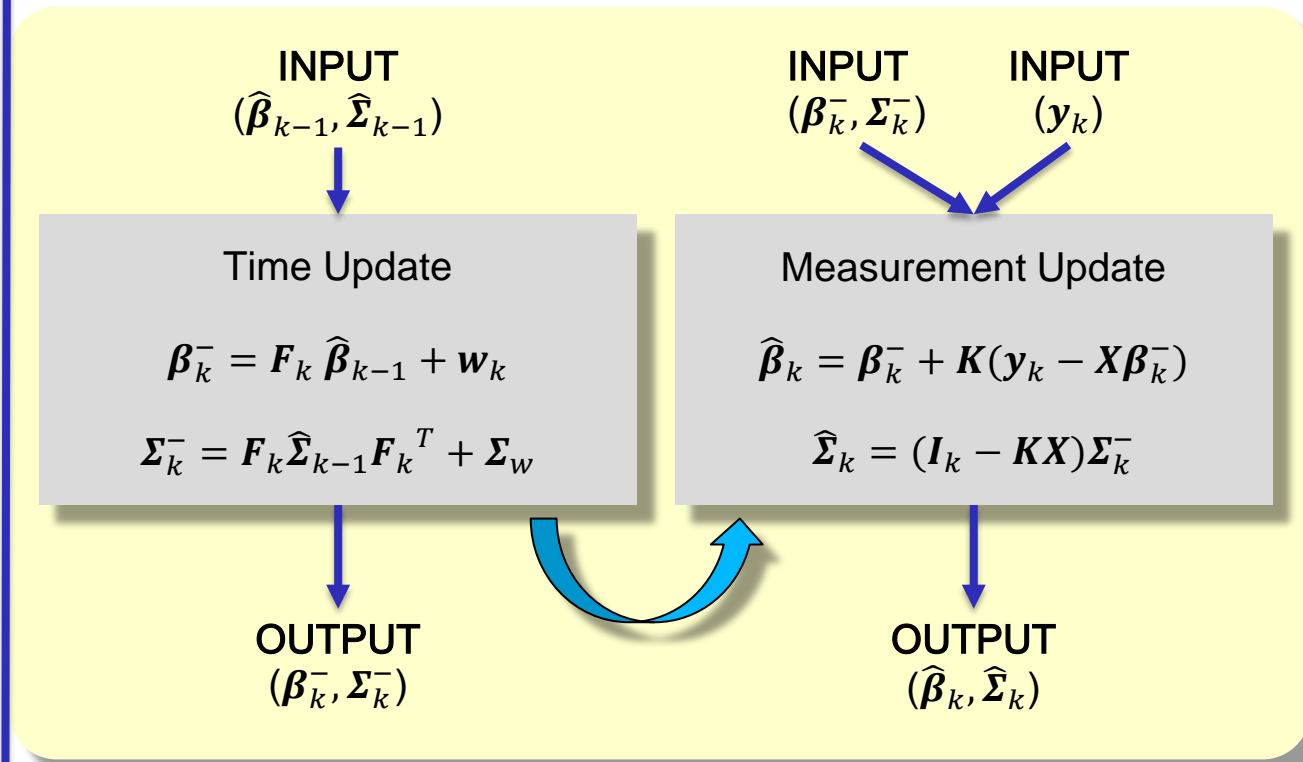
$$\mathbf{y}_k = \mathbf{h}(\boldsymbol{\beta}_k, \mathbf{e}_k)$$

- Measurement error  $\mathbf{e}_k$  and process noise  $\mathbf{w}_k$  are assumed to be Gaussian white noise with the following properties

$$E(\mathbf{e}_i \mathbf{e}_j^T) = \boldsymbol{\Sigma}_e \delta_{i,j}$$

$$E(\mathbf{w}_i \mathbf{w}_j^T) = \boldsymbol{\Sigma}_w \delta_{i,j}$$

$$E(\mathbf{e} \mathbf{w}^T) = \mathbf{0}$$



Sequential processing with **discrete time Kalman Filter**

# Sequential Processing: Measurement Model

Overall state vector  
for the unknown  
parameters

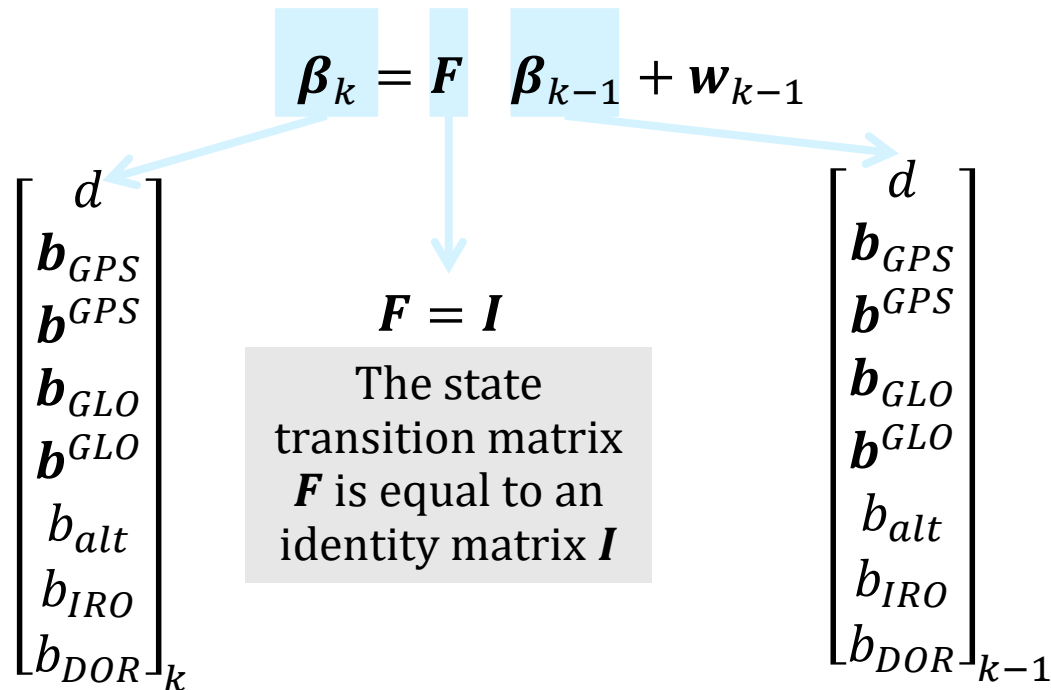
$$\begin{aligned}
 y_{GPS} + e_{GPS} &= m(z) VTEC + b_{r,GPS} + b_{GPS}^S \\
 y_{GLO} + e_{GLO} &= m(z) VTEC + b_{r,GLO} + b_{GLO}^S \\
 y_{ALT} + e_{ALT} &= VTEC + b_{ALT} \\
 y_{IRO} + e_{IRO} &= VTEC + b_{IRO} \\
 y_{DOR} + e_{DOR} &= m(z) VTEC + b_{DOR}
 \end{aligned}$$

$$VTEC(\lambda, \varphi) = \sum_{k_1=0}^{K_{J_1}-1} \sum_{k_2=0}^{K_{J_2}-1} d_{k_1, k_2}^{J_1, J_2} N_{J_1, k_1}^2(\varphi) T_{J_2, k_2}^2(\lambda)$$

$$\beta = \begin{bmatrix} d \\ b_{GPS} \\ b_{GPS}^{GPS} \\ b_{GLO} \\ b_{GLO}^{GLO} \\ b_{ALT} \\ b_{IRO} \\ b_{DOR} \end{bmatrix}$$

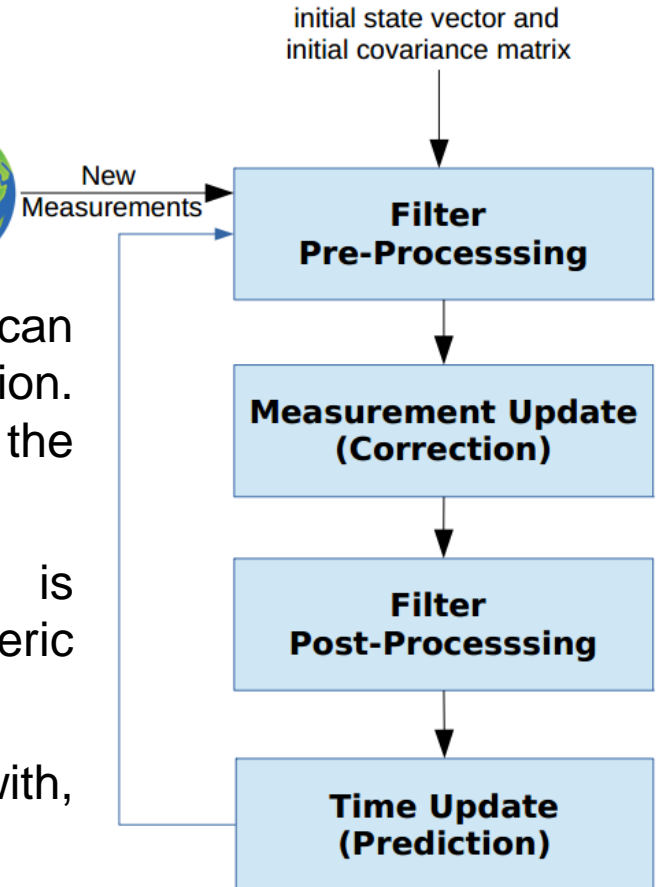
# Sequential Processing: Prediction Model

- Ionosphere modelling problem is handled in a **Sun-fixed reference** system
- Ionosphere changes much more slowly in the Sun-fixed frame, because the **effect of the Earth's diurnal motion** is mitigated.
- This approach allows use of simple models to represent the time variation of the VTEC model parameters (e.g. random walk)



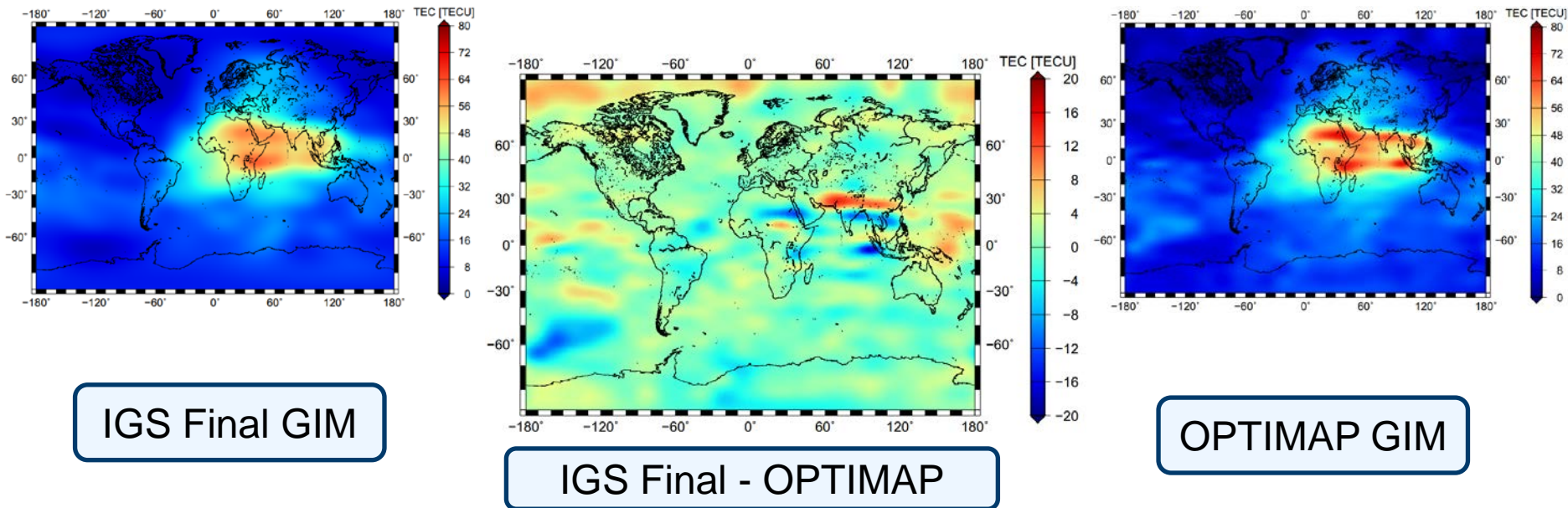
# Sequential Processing : Overview of Filtering Step

- All the observations are assimilated in a Kalman filter (KF) for modeling the global VTEC distribution. The KF allows for the **sequential processing** of measurements.
- **Pre-processing step:** The filter structure can change in time, e.g. by adding a new GNSS station. Therefore, the filter state is edited prior to the measurement update.
- **Measurement Update:** the current state vector is corrected immediately with new ionospheric observations.
- **Post-processing step:** This step is related with, e.g., storing the computed parameters
- **Time Update:** Once the filter state is corrected, the state is propagated to the next epoch using a proper prediction method.



# Initial Results

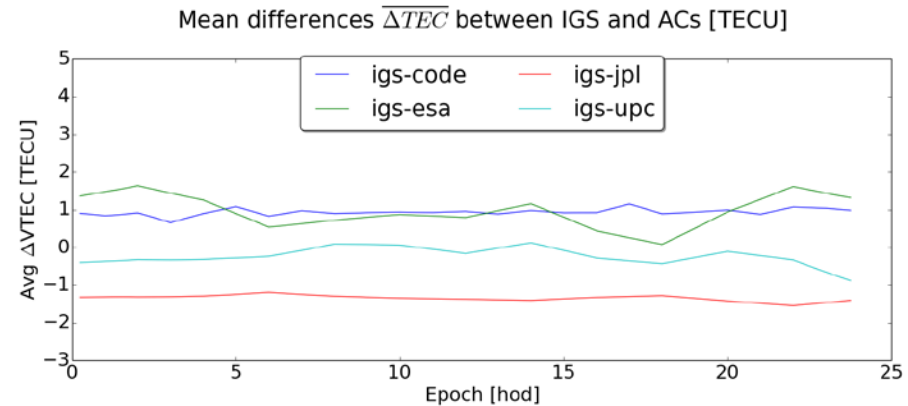
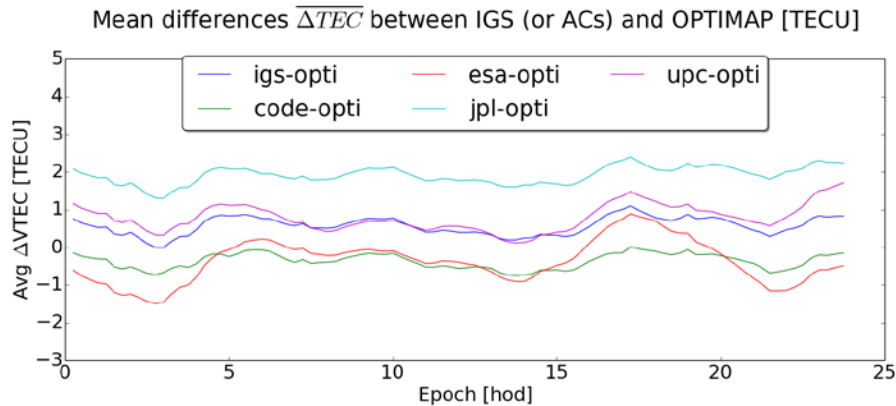
- Here, **first results** regarding the monitoring of global VTEC maps derived from **combination of different space geodetic techniques** are presented.
- For validation the post-processed IGS VTEC products with high accuracy are taken into account as a reference for comparisons.



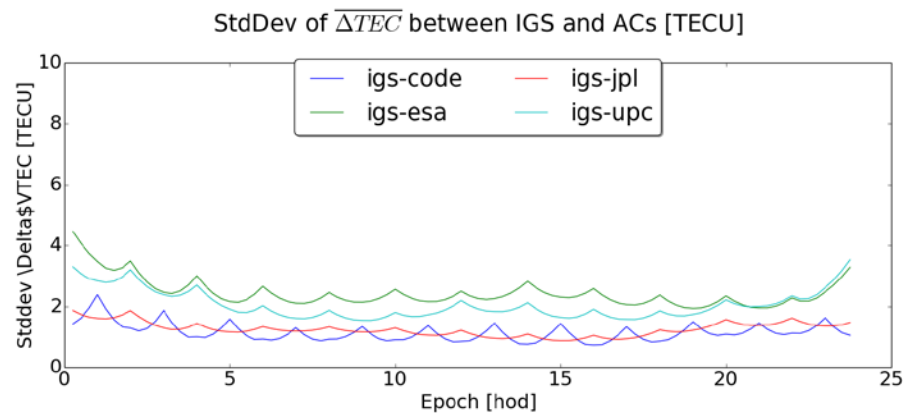
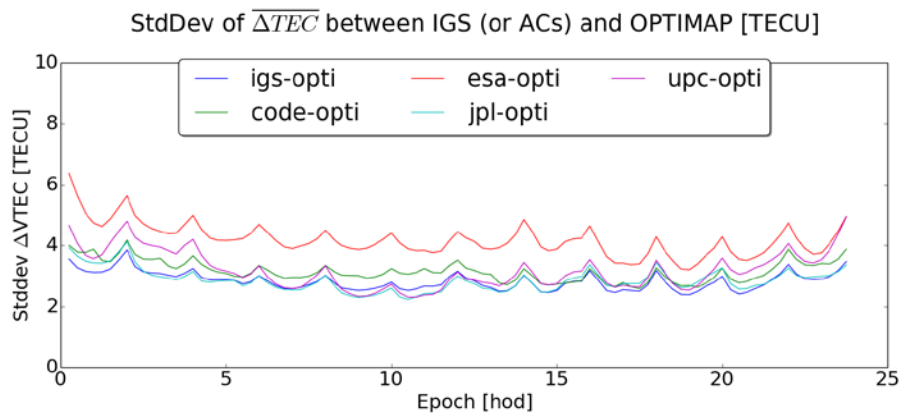
- Figures show VTEC maps for February 12, 2016 at 10:00h provided by OPTIMAP (right) and IGS (left). VTEC differences of IGS and OPTIMAP are depicted in the middle.



# Initial Results



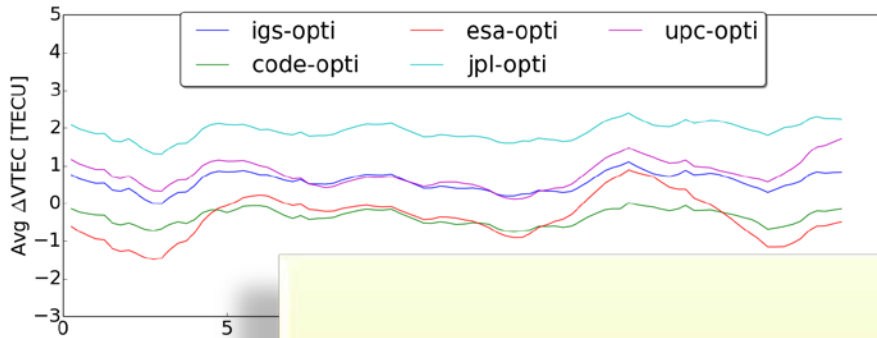
*Global mean differences computed every 15 minutes on February 12, 2016 between the OPTIMAP product and the IGS /ACs (left) as well as the IGS and its ACs (right).*



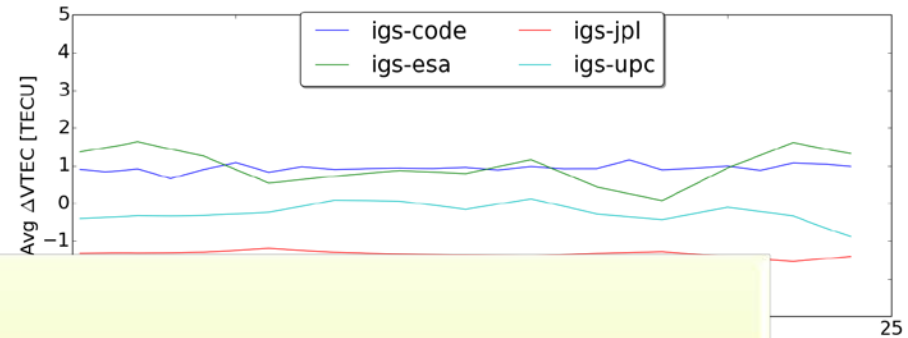
*Standard deviations computed every 15 minutes for February 12, 2016 between the OPTIMAP product and the IGS /ACs (left) as well as the IGS and its ACs (right).*

# Initial Results

Mean differences  $\overline{\Delta VTEC}$  between IGS (or ACs) and OPTIMAP [TECU]



Mean differences  $\overline{\Delta VTEC}$  between IGS and ACs [TECU]

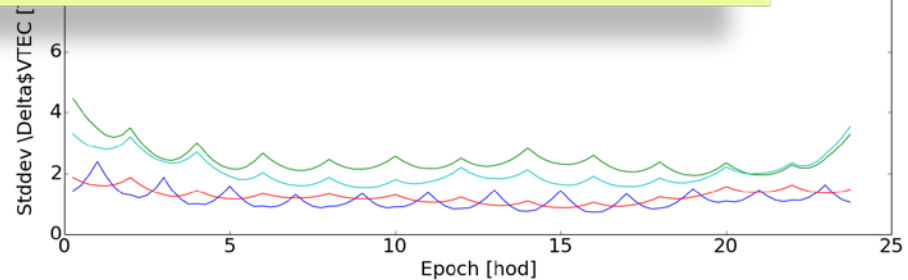
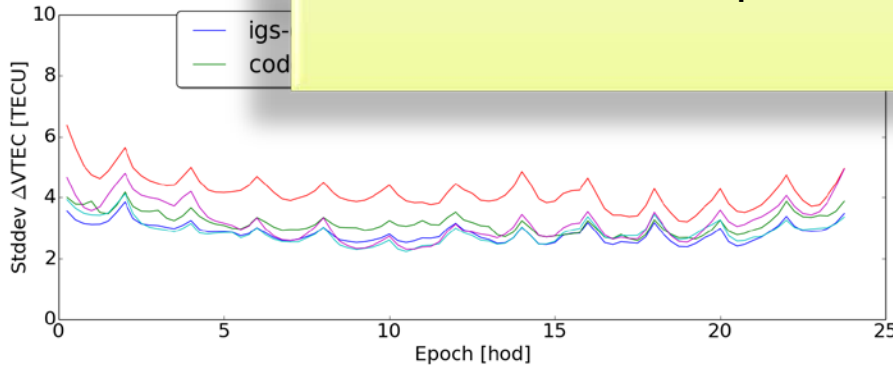


Global mean  
OPTIMAP pro

For February 12, 2016, mean differences between -1.5 and 2 TECU with standard deviations between 2 and 6 TECU w.r.t. VTEC maps of IGS and its ACs, mainly depending on the location of the ionospheric crests, have been found.

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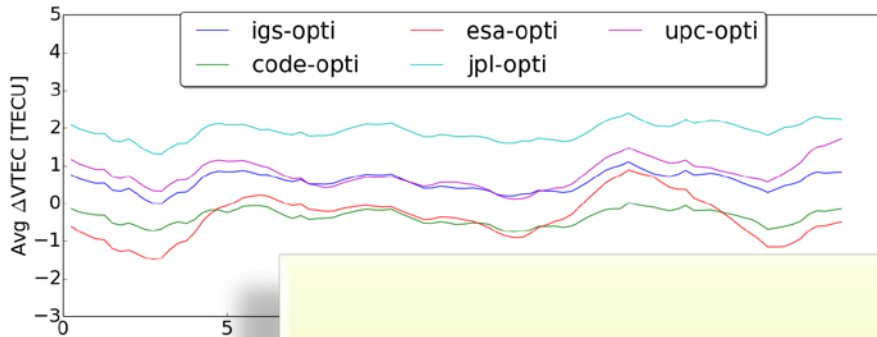
StdDev of  $\overline{\Delta VTEC}$



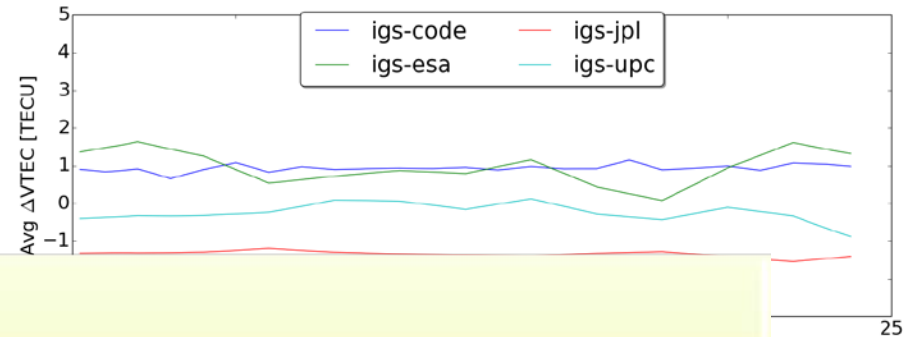
Standard deviations computed every 15 minutes for February 12, 2016 between the OPTIMAP product and the IGS /ACs (left) as well as the IGS and its ACs (right).

# Initial Results

Mean differences  $\overline{\Delta VTEC}$  between IGS (or ACs) and OPTIMAP [TECU]



Mean differences  $\overline{\Delta VTEC}$  between IGS and ACs [TECU]

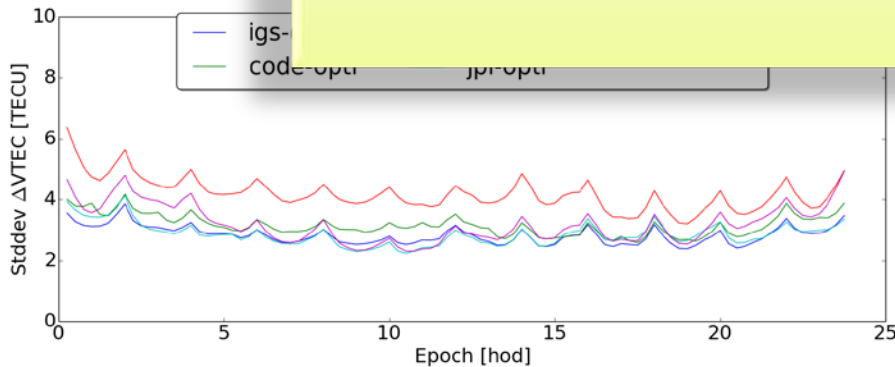


Global mean  
OPTIMAP pro

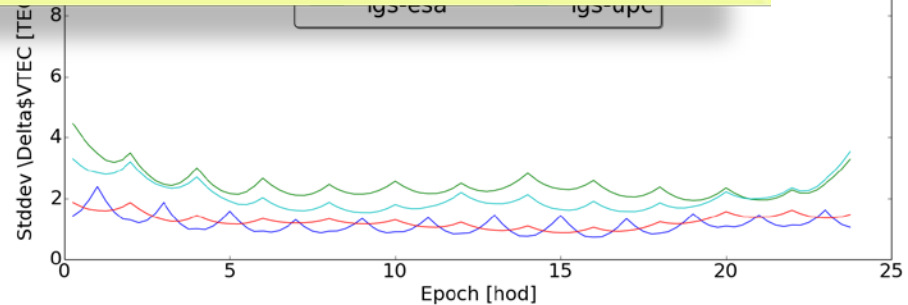
Individual contribution of each of the observation techniques to the quality of estimated VTEC maps will be investigated in detailed soon.

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StdDev of  $\overline{\Delta VTEC}$



StdDev  $\Delta VTEC$  [TECU]



Standard deviations computed every 15 minutes for February 12, 2016 between the OPTIMAP product and the IGS /ACs (left) as well as the IGS and its ACs (right).

# Final Remarks

- All unknown parameters (B-spline coefficients, DCBs, offsets, etc.) are determined from a recursive parameter estimation, characterized by:
  - combination of different observation techniques
  - VTEC representation by B-splines
  - and Kalman filtering
- Near future considerations are
  - extending the VTEC modelling to an electron density modeling (2-D -> 3-D).
  - either analytic and/or stochastic incorporation of the Sun observations
  - combination of the global and regional modelling techniques for densification

Thank you very much  
for your consideration